PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/664,893 TRANSMITTAL Filing Date September 22, 2003 **FORM** First Named Inventor Karlheinz Hermann Art Unit (to be used for all correspondence after initial filing) 2872 Examiner Name Joshua L. Pritchett Attorney Docket Number 0902-006 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Potomac Patent Group, PLLC Individual name Signature Date July 01, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date



Att'y Dkt. No. 0902-006 U.S. App. No. 10/664,893

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karlheinz HERMANN

Art Unit: 2872

Appl. No.: 10/664,893

Examiner: Joshua L. PRITCHETT

Filing Date: 09/22/2003

Confirmation No.: 4265

Title: MICROSCOPY SYSTEM AND MICROSCOPY METHOD FOR

**PLURAL OBSERVERS** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office 2011 South Clark Place, Room 1B03 Customer Window, Mail Stop Missing Parts Arlington, Virginia 22202

Sir:

Listed on the accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

☑1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Att'y Dkt. No. 0902-006 U.S. App. No. 10/664,893

| ☐a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).  |
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| b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). |
| c. Attached is our check no in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p).   |
| This Information Disclosure Statement is being filed more than three months after the U.S. iling date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicants hereby petition that the Information Disclosure Statement be considered Attached is our check no in the amount of \$180.00 in payment of the fee under 37 C.F.R. § .17(p).                 |
| ☐a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).  |
| □b. I hereby certify that no item of information on this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). |
| 4. Relevance of the non-English language document(s) is discussed in the present specification. See pages of the specification of the present application.   |
| ⊠5. The document(s) was/were cited in a corresponding foreign application. ⊠ A copy of a earch report issued in the foreign application is attached. ⊠ An English language version of the oreign search report is attached for the Examiner's information. M.P.E.P. § 609 (A)(3).  |
| ☐6. A concise explanation of the relevance of the non-English language document(s)  ☐ is ttached hereto or  ☐ appears below.   |

Att'y Dkt. No. 0902-006 U.S. App. No. 10/664,893

|             | ·  |  |  |  |  |  |
|-------------|--|--|--|--|--|--|
| <b>□</b> 7. | The Examiner's attention is directed to co-pending U.S. Patent Application No, filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination. |  |  |  |  |  |
| <b>□</b> 8. | Copies of the documents were cited by or submitted to the Office in Application No, filed, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).   |  |  |  |  |  |
|             | Other: The Examiner's attention is drawn to the previously submitted Form 1449. Please see nation below.   |  |  |  |  |  |
|             | In the currently enclosed documents, English language translations for EP 1 235 094 A2, WO   |  |  |  |  |  |
| 00/687      | 24 A1, WO 01/27659 A2 and DE 41 34 481 A1 have not been provided since these documents   |  |  |  |  |  |
| were de     | esignated as category "A" by the Examiner in the European Search Report. Documents   |  |  |  |  |  |
| belongi     | ing to this category provide technological background to the invention.  |  |  |  |  |  |
| ·           | In the previously submitted IDS of December 22, 2003, US Patent No. 6,483,948 B1   |  |  |  |  |  |
| corresp     | onds to WO 96/20421 A1. Furthermore, JP 11258516 A corresponds to DE 199 11 145 A1   |  |  |  |  |  |
| -           | English language translation of JP 11258516 A was submitted.   |  |  |  |  |  |
|             | It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-  |  |  |  |  |  |
| 1449. a     | s well as the previously submitted PTO-1449, and to indicate in the official file wrapper of   |  |  |  |  |  |
|             | ent application that the documents have been considered.   |  |  |  |  |  |
| inis put    | Respectfully submitted,  |  |  |  |  |  |
|             | By: Kris Kaldindi<br>Reg. No. 41,461   |  |  |  |  |  |
| Date: 1     | luly 1, 2004   |  |  |  |  |  |

Potomac Patent Group, PLLC P.O. Box 855 McLean, VA 22101-0855 703-905-9818

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Substitute for form 1449/PTO

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(Use as many sheets as necessary)

| Complete if Known      |                     |               |  |  |
|------------------------|---------------------|---------------|--|--|
| Application Number     | 10/664,893          |               |  |  |
| Filing Date            | Sepember 22, 2003   |               |  |  |
| First Named Inventor   | Karlheinz HERMANN   |               |  |  |
| Art Unit               | 2872                |               |  |  |
| Examiner Name          | Joshua L. Pritchett |               |  |  |
| Attorney Docket Number | 0902-006            | $\overline{}$ |  |  |

| Examiner<br>Initials* | Cite<br>No.1                                     | Document Number                         | Publication Date<br>MM-DD-YYYY | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|-----------------------|--|---|--------------------------------|-----------------------------|---|--|
|                       |  | Number-Kind Code <sup>2 (# known)</sup> | WIWI-DD-1111                   | Applicant of Cited Document |   |  |
|                       | ·  | <sup>US-</sup> 2001/050808 A1           | 12-13-2001                     | Morita et al.               | ·   |  |
|                       |  | <sup>US-</sup> 6,327,079 B1             | 12-04-2001                     | Namii et al.                |   |  |
|                       |  | US- 2003/0112509 A1                     | 06-19-2003                     | Takahashi                   |   |  |
|                       |  | US-                                     |                                |                             |   |  |
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| FOREIGN PATENT DOCUMENTS |              |   |                     |  |   |    |
|--------------------------|--------------|---|---------------------|--|---|----|
| Examiner . Initials*     | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |    |
|                          |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T⁵ |
|                          |              | WO96/20421 A1   |                     |  | Prev. submitted                                   |    |
|                          |              | WO98/13716 A1   |                     |  |   |    |
|                          |              | EP 1 235 094 A2   |                     |  | Category "A"                                      |    |
|                          |              | WO 00/68724 A1  |                     |  | Category "A"                                      |    |
|                          | •            | WO 01/27659 A2  |                     |  | Category "A"                                      |    |
|                          |              | DE 41 34 481 A1   |                     |  | Category "A"                                      |    |

| Examiner  | , |   | • | • | Date       |  |
|-----------|---|---|---|---|------------|--|
| Signature |   | • |   |   | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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